

CUSTODIAL SERVICES RFQ
QUESTIONS FROM RESPONDENTS

	QUESTION	ANSWER
1	Structure: One Account (one TIN) or multiple accounts (please describe). Number of Sub-accounts/portfolio's required.	One TIN, Internal Management of sources
2	Please provide a detailed statement of investment holdings. The report provided on your web site is entitled "Investment Accrued Interest and Premium/Discount Amortization". This report shows a negative balance for each investment listed...not sure if this represents your holdings.	INVENTORY AS OF 9/16/16 MUNICIPAL BONDS - \$4.5M COMMERICAL PAPER - \$1.6B MORTGAGE BACKED SECURITIES - \$1.4B
3	By asset category, please provide the approximate number of transactions per month or year, reflecting buys or sells.	TRANSACTIONS FOR PERIOD 9/30/15 through 8/31/16: Reciepts: 3,336 Buy/Sell: 1, 459 Paydowns: 1,310
4	Please provide an approximate number of outgoing wire transfers per month	25
5	Bank Certificate of Deposits: please advise if these CD's are marketable (cusips) or if they are considered "physically held" by your custodian.	N/A to RFQ
6	Describe your needs for Pledging, if any.	None
7	Please provide the number of accounts you maintain with your current custodian	Currently 5 accounts, however going forward we intend to maintain a single account
8	Please provide the number of incoming and outgoing Fed Wires for a one year period	Average of 28 per month
9	Please provide the Highest and Lowest total market value of the fund for a one year period	For the period of 9/1/2015-9/1/2016 High – 5/24/2016 \$3,202,249,067.94 Low – 7/9/2016 \$2,318,311,173,25
10	Are performance/Compliance services being provided by your current custodian, consultant, internal or a combination of the above?	Internal
11	Do you use a Trade Order Management system that you would like the custodian to interface with? If so, please provide the system name (i.e. Bloomberg etc.)	Bloomberg
12	Do you hold any Limited Partnerships?	No
13	If yes, what systems or software tools are you using to monitor the Limited Partnerships?	N/A

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14	What external service providers do you use for tracking the Private Equity portfolio, e.g., consultants, existing custodian, Burgiss Group, SunGard, iLevel, and what servicing do they provide? If you currently use Burgiss products, which do you use?	PRICING: BondEdge & SunGard, CUSTODIAL: First National Bankers Bank
15	What is the total market value of assets to be held in custody? On page 5 it states approximately \$6 Billion but on page 9 it states \$3.43 Billion.	The total assets effective 6/30/16 - \$3.43 billion
16	What is the average monthly cash sweep balance maintained?	0
17	What are the annual or monthly trading estimates for DTC and FED trades?	Average of 95 per month
18	What are the annual or monthly trading estimates for tri-party repos?	None currently, though we do intend to in the future
19	What are the annual or monthly trading estimates for overnight repos?	None currently, though we do intend to in the future
20	What are the estimated of the annual number of incoming/outgoing wires?	average of 25 money wires per month
21	Do you currently hold physicals today? If so, please provide volumes.	No
22	On page 11, 3.4.3 The Office reserves the right to execute tri-party agreements outside the terms of this procurement – Please clarify your intent and when this would apply?	Though we intend to use a single custodian, this reserve to right to use alternative custodian in the event there is an requirement that is out of our control
23	Who is providing Performance Analytics today? If so, could you please provide a sample report?	Internal System (PacerPilot reports)
24	Who is providing Risk Reporting today? If so, could you please provide a sample report?	N/A